

FOCI DATA SHEET

COMPANY NAME: _____

Documents common to ALL business structures:

- ✓ SF-328, CERTIFICATE PERTAINING TO FOREIGN INTEREST _____
- ✓ OWNERS, OFFICERS, DIRECTORS, AND EXECUTIVE PERSONNEL (OODEP) Form _____
- ✓ REPRESENTATIVE FOR FOREIGN INTEREST (RFI) Statement *(if applicable)* _____
- ✓ Internet Web Site and/or E-mail Address *(if applicable)* _____

Additional documents required from PUBLICLY TRADED CORPORATIONS:

- ✓ Articles Of Incorporation _____
- ✓ SEC 10K Report _____
- ✓ Proxy Statement _____
- ✓ SEC Schedules 13D & 13G _____
- ✓ Corporate By-Laws _____
- ✓ IRS Form 5471 _____
- ✓ Annual Report With Financial Information _____

Additional documents required from PRIVATELY OWNED CORPORATIONS:

- ✓ Articles of Incorporation _____
- ✓ Corporate By-Laws _____
- ✓ IRS Form 5471 *(if applicable)* _____
- ✓ Latest Annual Report With Financial Information **OR** Audited Financial Information
(If unaudited, submit a certification attesting to the unavailability of audited information) _____

Additional documents required from PARTNERSHIPS:

- ✓ Partnership Agreement _____
- ✓ SEC 10K Report _____
- ✓ Proxy Statement _____
- ✓ SEC Schedules 13D & 13G _____
- ✓ IRS Forms 5471 & 1065 _____
- ✓ Most Current Board Meeting Minutes Identifying Executive Appointments/Changes _____
- ✓ Latest Annual Report With Financial Information **OR** Audited Financial Information
(If unaudited, submit a certification attesting to the unavailability of audited information) _____

Additional documents required from PROPRIETORSHIPS:

- ✓ Tax ID Number/State Registration Certificate _____
- ✓ IRS Form 1040 _____
- ✓ Annual Report **OR** Audited Financial Information For Most Recent Fiscal Year
(If audited report is not available, CFO/Treasurer must sign and date the financial statement certifying that it is current and correct) _____
- ✓ Spousal Information **IF** Community Property State (AZ, CA, ID, LA, NV, NM, TX, WA, WI)
(If proprietor is single, please so state.) _____

Additional documents required from LIMITED LIABILITY COMPANY:

- ✓ Certificate Of Organization _____
- ✓ Operating Agreement _____
- ✓ Audited Financial Information For Most Recent Fiscal Year
(If unaudited, the CFO/Treasurer must sign and date the financial statement certifying that it is current and correct) _____

Additional documents required from COLLEGES/UNIVERSITIES

- ✓ University Charter _____

DE-RP24-04-OH20179

Amendment 0006

March 24, 2004

Attachment 1

- ✓ Latest Annual Report
- ✓ Most Current Board Meeting Minutes Identifying Executive Appointments/Changes

